

Form PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  
(Rev. 11-2000)

Attorney's Docket Number

48498-263411

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. Application No. (if known, see 37 CFR 1.5)  
09/914,864

International Application No.

PCT/EP00/01906

International Filing Date

03 March 2000 (03.03.2000)

Priority Date Claimed

05 March 1999 (05.03.1999)

Title of Invention

Bacterial Ghosts As Carrier And Targeting Vehicles

Applicant(s) for DO/EO/US

LUBITZ, Werner; HUTER, Veronika

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)). This submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The U.S. has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Return postcard; ck \$40.00

Express Mail Label No.: EL602997846US

Date: December 3, 2001

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U.S. Application No. (if known, see 37 CFR 1.5) <b>09/914,864</b>	International Application No. <b>PCT/EP00/01906</b>	Attorney's Docket Number <b>48498-263411</b>
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21. ☐ The following fees are submitted:
CALCULATIONS PTO USE ONLY

**BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):**

Neither international preliminary examination fee (37 CFR 1.482)  
 nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
 and International Search Report not prepared by the EPO or JPO ...\$1000.00

International preliminary examination fee (37 CFR 1.482) not  
 paid to USPTO but International Search Report prepared by the  
 EPO or JPO.....\$860.00

International preliminary examination fee (37 CFR 1.482) not  
 paid to USPTO but international search fee (37 CFR 1.445(a)(2))  
 paid to USPTO .....\$710.00

International preliminary examination fee (37 CFR 1.482) paid  
 to USPTO but all claims did not satisfy provisions of  
 PCT Article 33(1)-(4).....\$690.00

International preliminary examination fee (37 CFR 1.482) paid  
 to USPTO and all claims satisfied provisions of  
 PCT Article 33(1)-(4)..... \$100.00

<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>	0	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	0	

Claims	Number Filed	Number Extra	Rate		
Total claims	1- 20 =	0	x 18.00	\$0	
Independent Claims	1- 3 =	0	x 80.00	\$0	
Multiple Dependent Claims (if applicable)			+ 270.00	\$0	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$0	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				-	
<b>SUBTOTAL =</b>				\$0	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$0	
<b>TOTAL NATIONAL FEE =</b>				\$0	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property				+\$40.00	
<b>TOTAL FEES ENCLOSED =</b>				\$40.00	
				Amount to be refunded:	\$
				charged:	\$

a. ☒ A check in the amount of \$40.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 11-0855 in the amount of \$      to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 11-0855. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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John K. McDonald, Reg. No. 42,860

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